



Quality Policy Statement

The Authority will proactively regulate and promote the development of robust capital markets in Kenya through:

1. Demonstrating commitment to operating an active Quality Management System (QMS) within the context of the ISO 9001:2015 standard and relevant statutory and regulatory requirements.
2. Meeting and exceeding the stakeholders' diverse expectations of quality service and value through identification, continuous review and monitoring of stakeholders' requirements.
3. Assessing, addressing and continually reviewing internal and external issues that are relevant to the Authority's purpose and strategic direction to enhance the achievement of intended results of the Quality Management System.
4. Setting quality objectives aligned to the corporate strategic plan and consistently monitoring achievement.
5. Determining risks to be addressed and opportunities to be leveraged in order to achieve continuous improvement.
6. Pursuing continual improvement of the Quality Management System.
7. Ensuring that this quality policy is communicated, understood and applied within the Authority and is available to relevant stakeholders as appropriate.

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CHIEF EXECUTIVE