

QUALITY POLICY STATEMENT

The Capital Markets Authority commits to proactively regulate and promote the development of robust capital and commodity markets in Kenya by:

- 1. Operating a Quality Management System (QMS) based on ISO 9001 and meeting applicable customer, statutory and regulatory requirements.
- 2. Addressing internal and external issues to align with the Authority's mandate and strategic direction.
- 3. Meeting stakeholder needs and expectations.
- 4. Establishing, monitoring, and achieving quality objectives while managing risks and opportunities.
- 5. Enhancing operational efficiency through technology and pursuing continuous improvement of the QMS.
- 6. Ensuring the quality policy is communicated, understood, and applied across the Authority and is accessible to relevant stakeholders as appropriate.

FCPA Wyckliffe Shamiah
CHIEF EXECUTIVE OFFICER

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